



# MEMORANDUM – 2026-022

TO : THE TRADING PARTICIPANTS

SUBJECT : SUBMISSION OF DATA FOR 2027 RISK ASSESSMENT

DATE : 21 September 2026

---

In connection with the risk assessment of all trading participants (“TP”) relative to the conduct of the 2027 Annual Regulatory Examination by the Capital Markets Integrity Corporation (“CMIC”), the TPs are directed to provide pertinent details in the Internal Control Questionnaire (“ICQ”) in this link: <https://tinyurl.com/CMICICQ2027>.

The completed ICQ and required documents must be submitted via electronic email to CMIC ([acd@cmic.com.ph](mailto:acd@cmic.com.ph)) not later than **16 October 2026**.

For relevant inquiries, please email [acd@cmic.com.ph](mailto:acd@cmic.com.ph).

For your compliance.

*(original signed)*  
**GERARD B. SANVICTORES**  
*President*

|     |       |     |    |
|-----|-------|-----|----|
|     |       |     |    |
| ACD | FHRAD | IED | SD |